

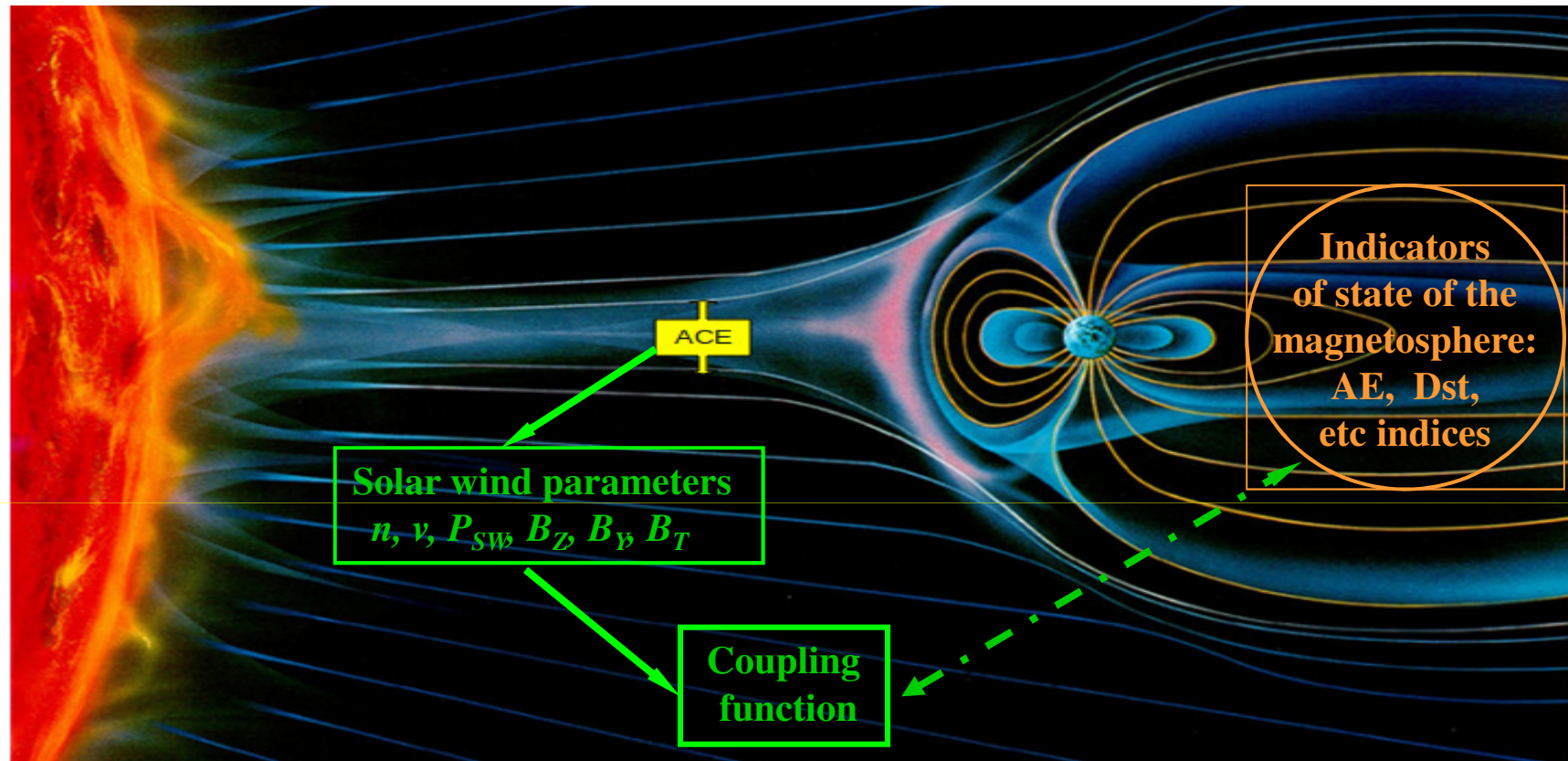
**PC INDEX AS A PROXY
OF THE SOLAR WIND ENERGY THAT
ENTERED INTO THE MAGNETOSPHERE:
RELATION TO INTERPLANETARY ELECTRIC
FIELD AND MAGNETIC DISTURBANCES**

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Motivation: need in reliable means for monitoring and nowcasting the space weather and magnetosphere state



Quantitative space weather forecasting and monitoring is based on measurements of the solar wind parameters on board ACE spacecraft spaced 1.5 M km apart the Earth in the Lagrange point L1

State of the magnetosphere is commonly evaluated by the magnetic Dst and AE indices, which characterize the energy realized in magnetosphere in form of storms and substorms.

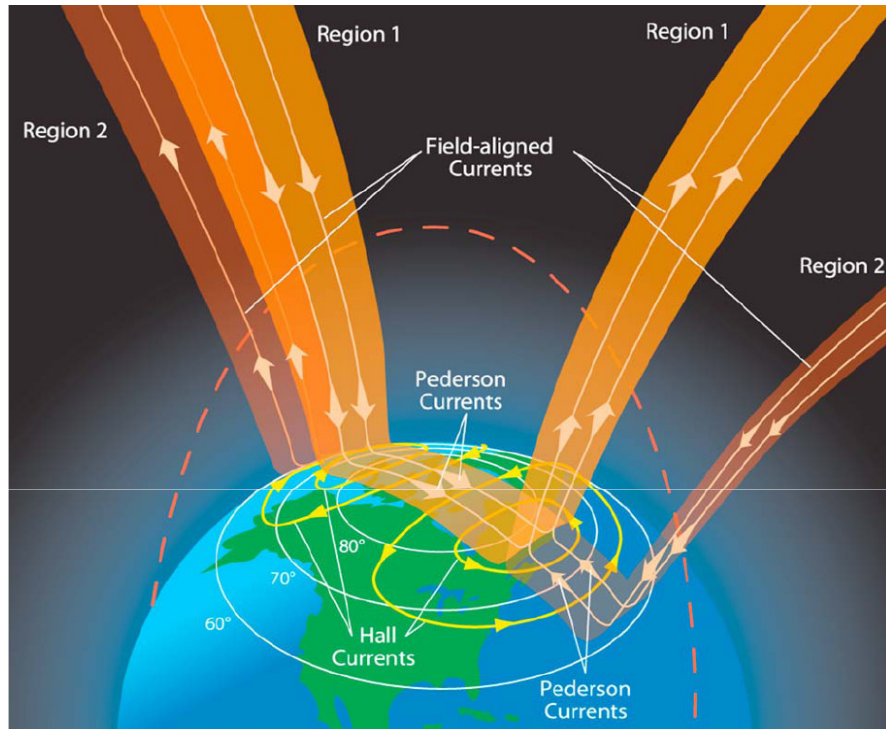
The different “coupling functions” (N>20) have been suggested to establish a link between the solar wind parameters and the magnetosphere state, but no one of them is not universal.

The PC index is proposed as indicator of efficiency of the solar wind – magnetosphere coupling.

Outline:

- 1. Physical backgrounds of the *PC* index derivation**
- 2. *PC* index and development of magnetospheric substorms**
- 3. Relationship between *PC* and the interplanetary electric field E_{KL}**
- 4. Relationship between the *PC* index and magnetic storms**
- 5. Summary of results**

Physical backgrounds for PC index: the polar cap magnetic activity responds to the geoeffective variations of solar wind



The varying solar wind coupling with the magnetosphere constantly generates the electric currents flowing along the geomagnetic field lines (“magnetospheric field-aligned currents”) [Langel, 1975; McDiarmid et al., 1977; Iijima & Potemra, 1982; Bythrow & Potemra, 1983].

The currents distributed along the poleward boundary of the auroral zone (Region 1 FAC) flow into the polar ionosphere on the dawn side and flow out of the ionosphere on the dusk side of the auroral zone.

These currents are responsible for the cross-polar cap potential difference and ionospheric currents producing the polar cap magnetic disturbances [Troshichev and Tsyganenko, 1979]

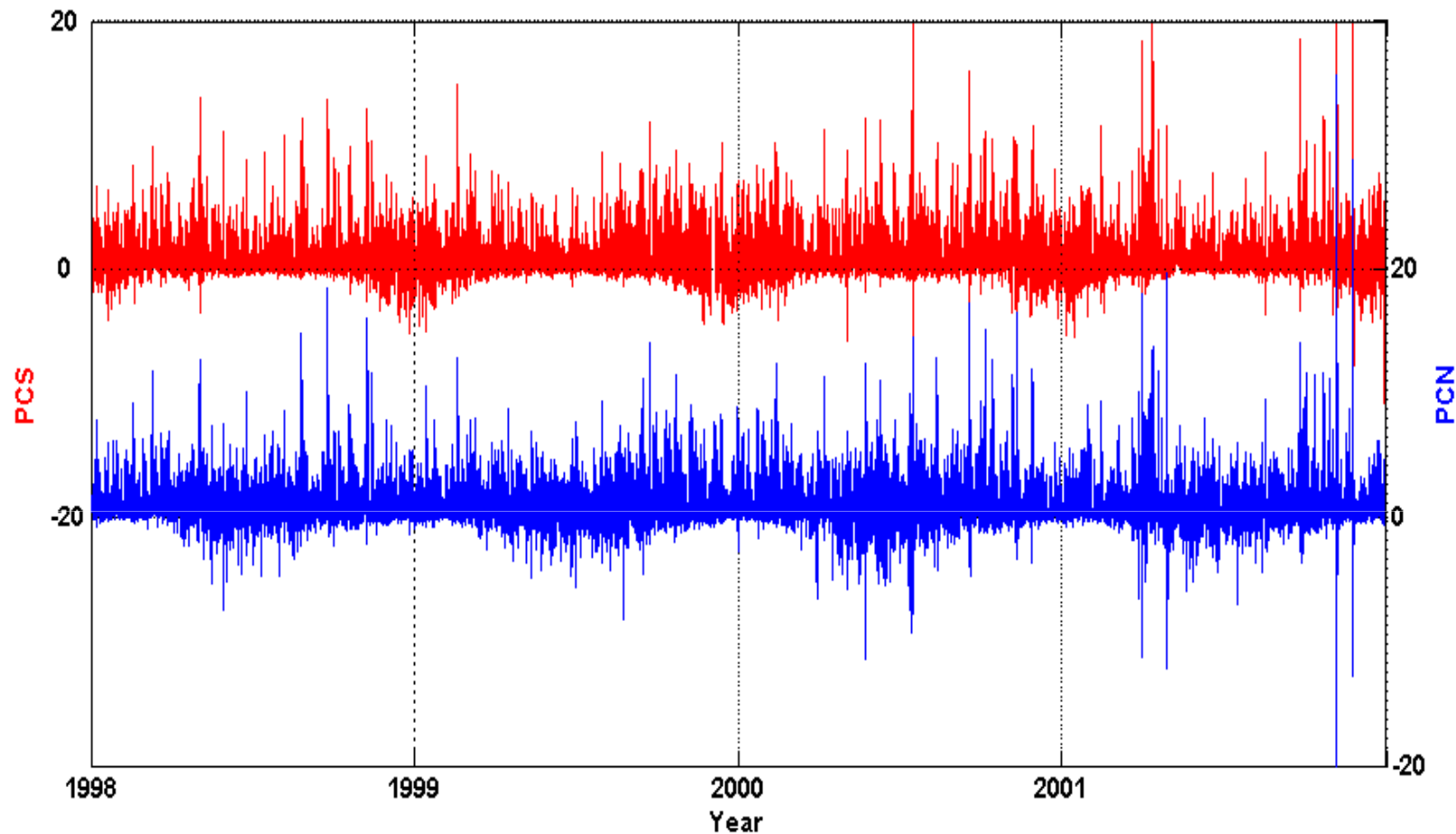
PC index has been introduced [Troshichev et al., 1988] to characterize the polar cap magnetic activity produced by the interplanetary electric field E_{KL} [Kan and Lee, 1979]

$$E_{KL} = V_{sw} * B_T$$

where V_{sw} – solar wind speed, B_T – the IMF tangential component.

PC index is determined as a value of magnetic disturbance in the near-pole region normalized by the EKL field intensity with allowance for UT time, season and hemisphere.

Run of the PCN and PCS indices in 1998-2002



PC index is calculated by magnetic data from stations Thule (Greenland) and Vostok (Antarctic).

- There is remarkable agreement in behavior of the positive PC values in the northern and southern hemispheres.
- The positive PC index can reach so large value as 20 at both Thule and Vostok station.
- Occurrence of the negative PC index is typical only of the local summer under conditions of the northward IMF (B_{zn}) influence in both hemispheres.

2. PC index and development of magnetospheric substorms

Development of the magnetospheric substorms was estimated by the AL index, characterizing magnetic activity in the auroral zone.

Under the substorm sudden onset we examined steep increases of the AL index with magnitude more than 100nT for time lapse < 10 min.

To analyze relationship between the PC index and substorm development the time evolution of the PC and AL indices **in course of all magnetic substorms with sudden onset** observed in epoch of solar maximum (1998-2001) was examined.

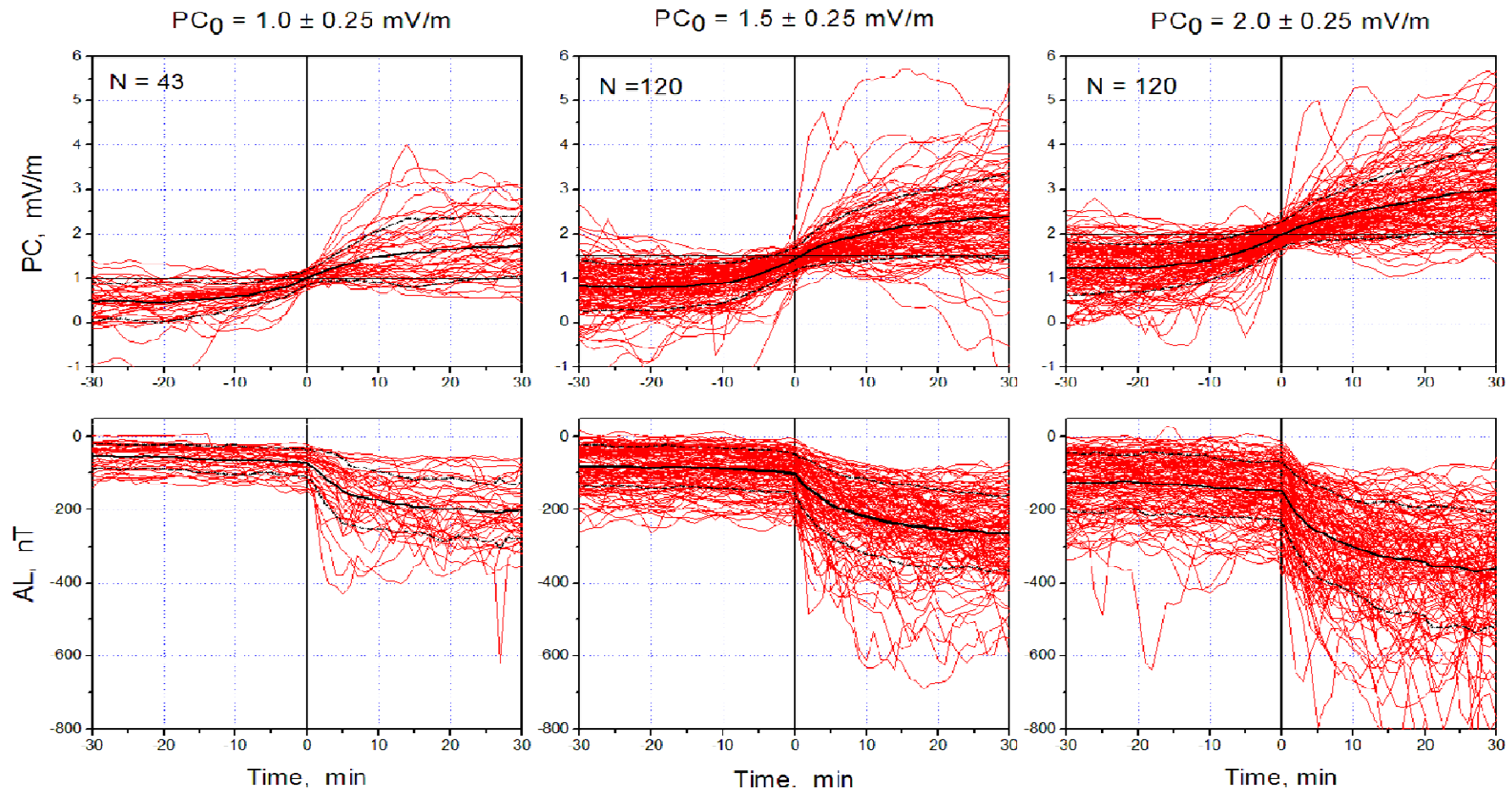
Magnetic substorms have been divided into two classes:

Isolated substorms are disturbances, which are developed against the background of quiet magnetic field ($AL \leq 200\text{nT}$) lasting not less than 3 hours prior to SO (**N=194**),

Expanded substorms are disturbances, which occurred during the enhanced magnetic activity in the polar cap and aurora zone (**N=1418**).

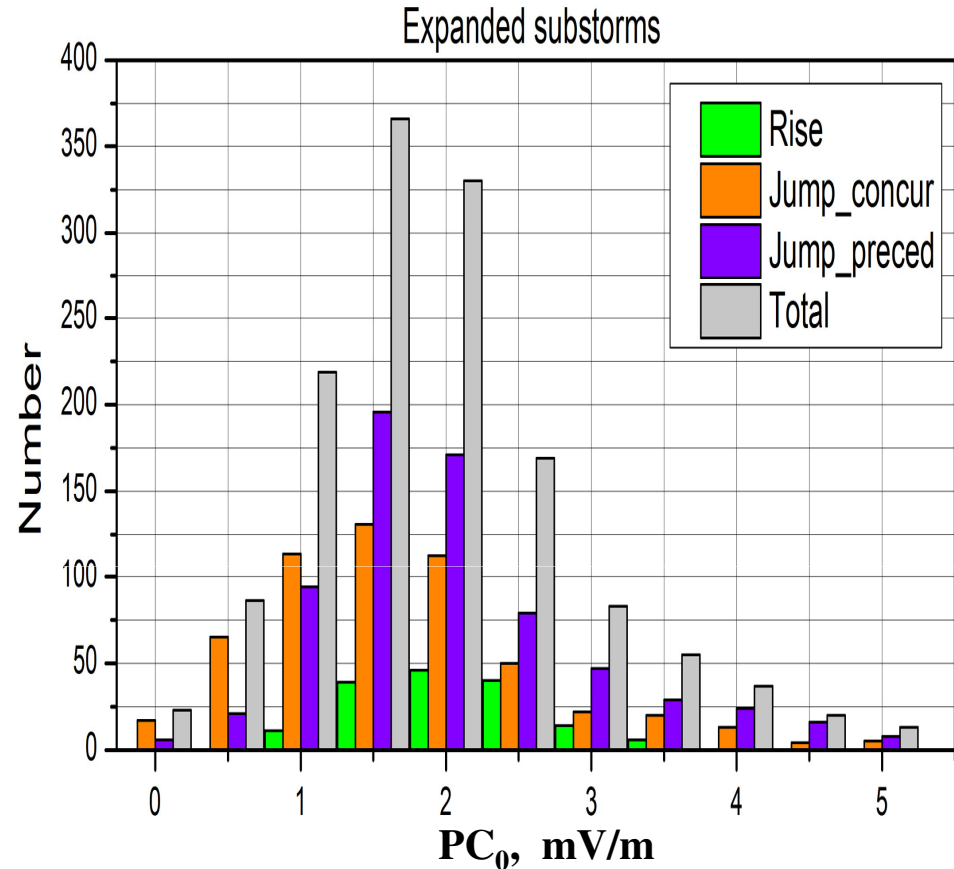
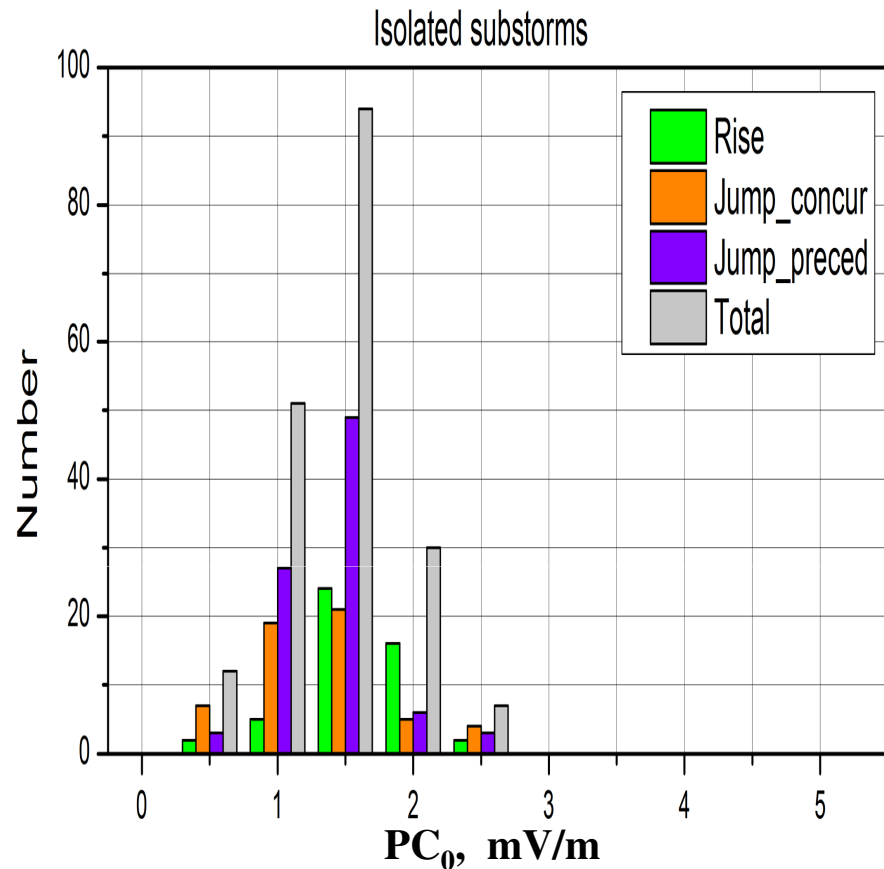
PC index and substorm development

Substorm separated by groups by value of PC_0 in time of substorm sudden onset; the moment of substorm onset (SO) is taken as a key date ($T=0$). Thin red lines present a run of PC and AL in course of individual events. Thick black line presents variation of mean PC and AL values for each group



Development of magnetospheric substorm is always preceded by growth of the PC index. The substorm onset is related to distinct PC leap occurring in range 0-10 min ahead of SO. The PC growth rate is not affected by the substorm sudden onset.

Distribution of substorms over the values PC_0



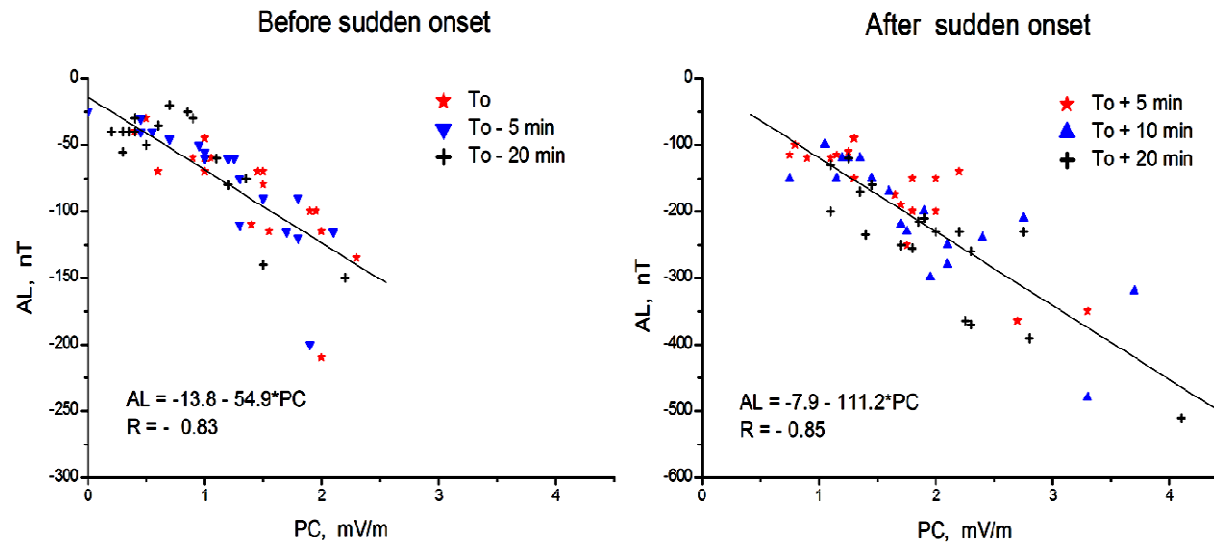
Substorms are developed against the backgrounds of gradually increasing PC index.

Sudden onset of isolated and expanded substorms is observed, as a rule (for >75%), when the PC index exceeds the threshold level $PC=1\div 1.5$ mV/m.

Under conditions of high activity ($PC_0 > 3.5$ mV/m), the substorms start exclusively in association with strong jump of the PC .

Relationship between AL and PC indices before and after substorm onset

Isolated substorms

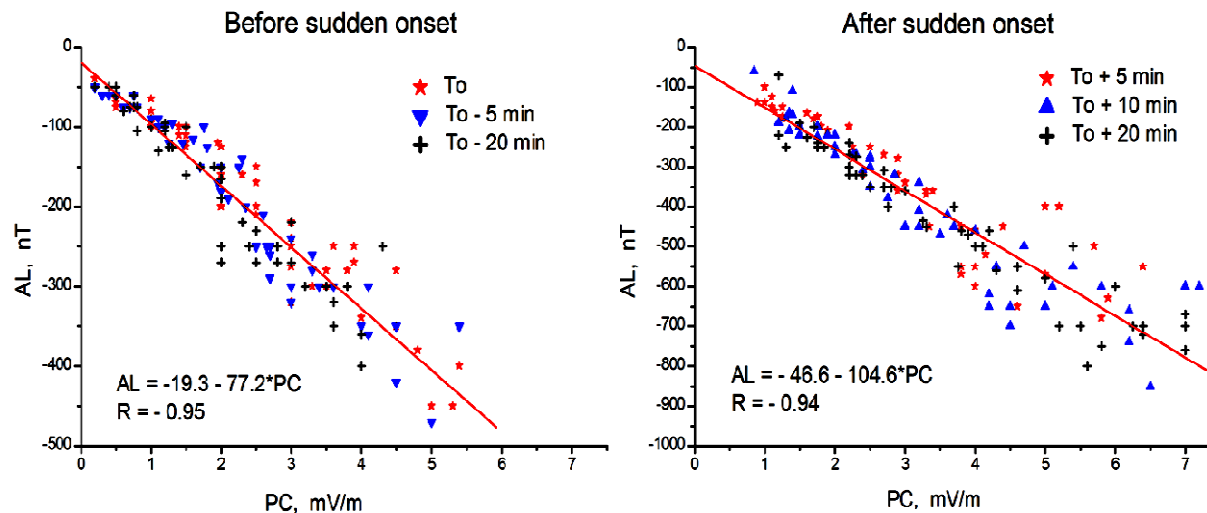


Intensity of magnetic disturbances in the auroral zone (*AL* index) before and after the substorm sudden onset is linearly related to *PC* value.

In case of isolated and extended substorms the slope coefficient after SO turned out to be much higher than before SO.

Thus, the time evolution of magnetic disturbances in the auroral zone is controlled by the *PC* value, but dependence of *AL* on *PC* sharply increases after the substorm onset as an evident consequence of the auroral particle precipitation giving rise to the ionospheric conductivity and powerful westward auroral electrojet in course of the substorm expansive phase.

Expanded substorms



3. *Relationship between PC and the interplanetary electric field E_{KL}*

The analysis of relationship between PC and E_{KL} was carried out for the same events with isolated and expanded substorms for period 1998-2001 which were examined above.

Values PC and E_{KL} were smoothed using the 15 min averaging running window.

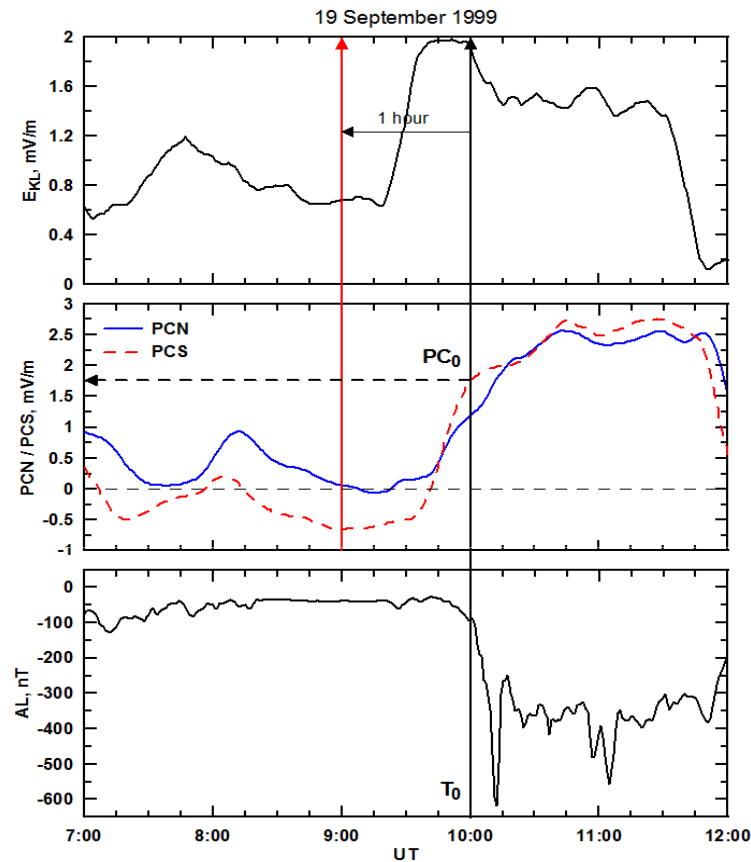
The time of substorm onset (SO) was identified by AL index a zero time (T_0) for events with isolated and expanded substorms and correlation between the smoothed E_{KL} and PC was calculated for 1-hour term preceding SO.

Besides, the events with coordinated E_{KL} and PC changes during 2-hour interval preceding SO have been separated as the **coordinated events**. In this case the moment of the sudden rise of E_{KL} was taken as a zero date (T_0).

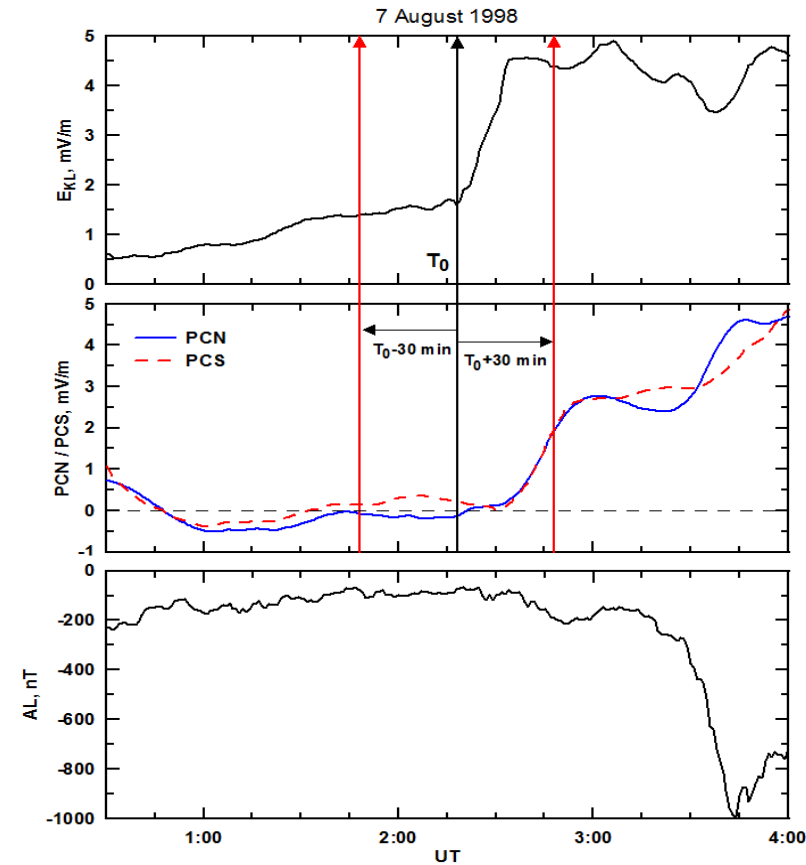
The event with the gap in data more than 40% of the data body were excluded from examination. As a result, amount of the examined events was $N=163$ for isolated and $N=983$ for expanded substorms, and $N=261$ for coordinated events.

Examples of events with substorm onset and coordinated events

Event with isolated substorm



Coordinated event

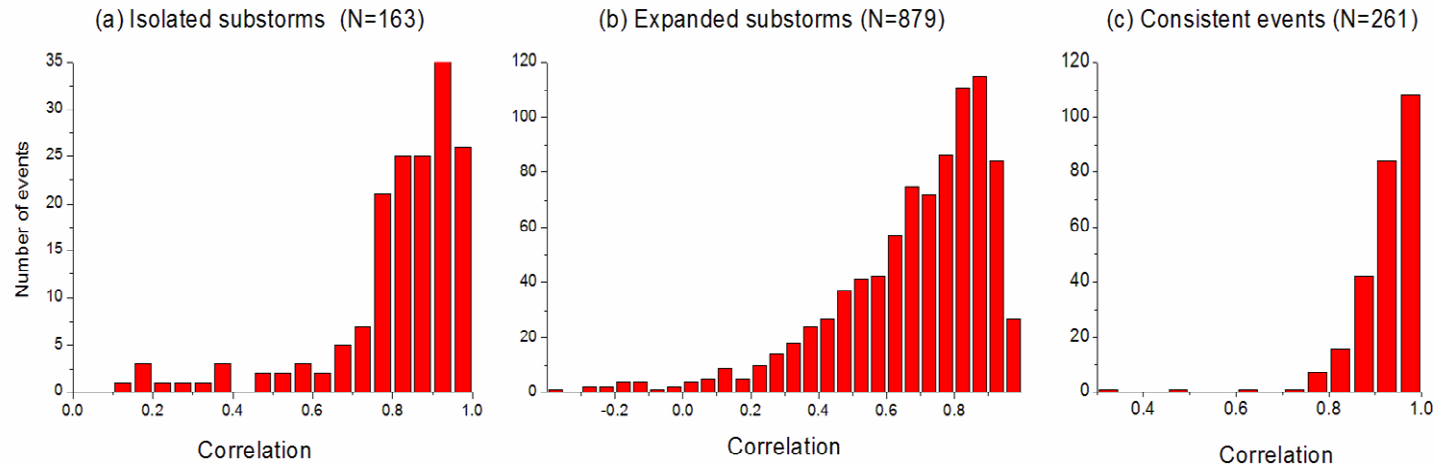


In case of events with SO the correlation between the smoothed E_{KL} and PC was calculated for 1-hour term preceding SO separately for each event. In case of coordinated events the correlation between E_{KL} and PC quantities was calculated in range from T_0-30 to T_0+30 , irrespective of substorm sudden onset.

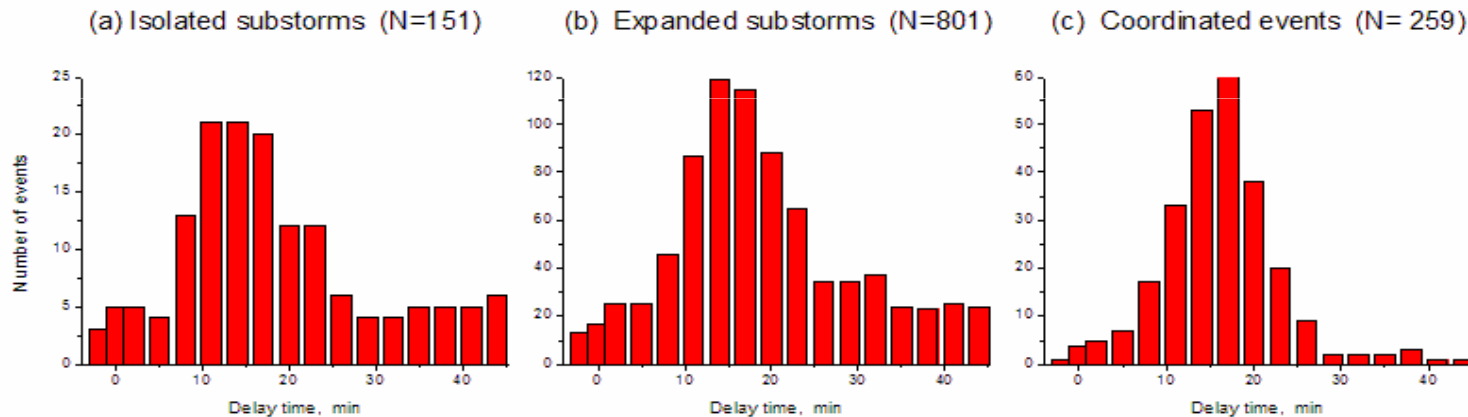
The correlation was calculated with variable shifts of E_{KL} relative to PC , the shift being taken in range of from 0 to 45 min in case of substorm and in range of ± 30 minutes in case of coordinated events.

The delay time ΔT in response of PC to E_{KL} variation was identified for each specific event as a value of shift providing the maximal correlation between E_{KL} and PC .

Correlation between PC and E_{KL} in period preceding the substorm sudden onset



Delay time ΔT in response of PC to E_{KL} variation



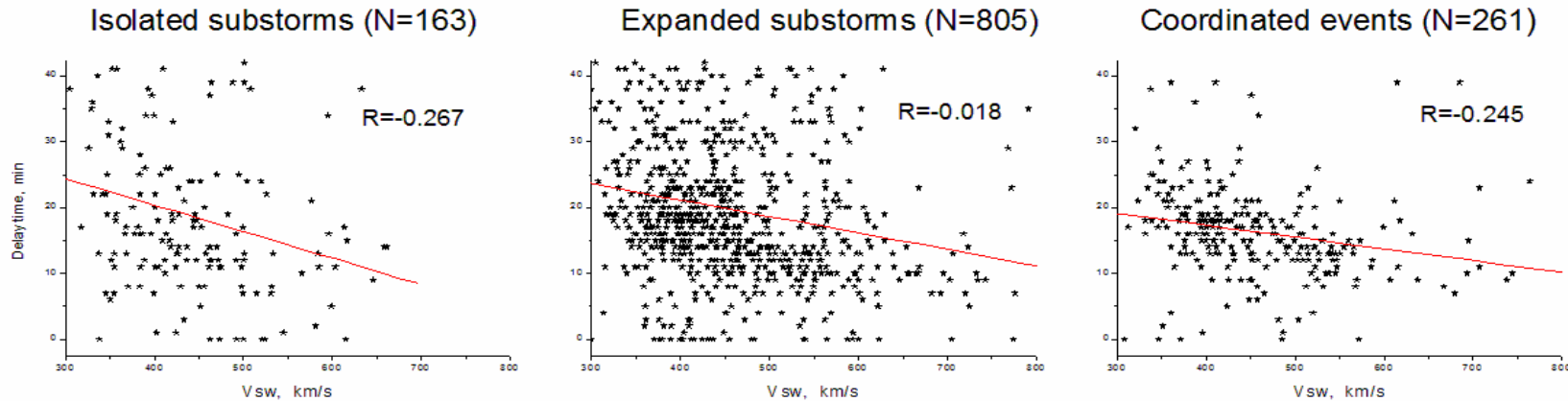
The high correlation between E_{KL} and PC ($R > 0.75$) was observed in $\sim 70\%$ of events with isolated substorms, in $\sim 50\%$ of events with expanded substorms, and in $> 90\%$ of coordinated events testifying that PC index strongly follows the time evolution of E_{KL} field in period preceding the substorm onset.

The low ($R < 0.50$) or negative correlation between E_{KL} and PC observed for another part of examined events suggesting that the magnetosphere in these cases was not touched by the solar wind, those parameters were measured on board ACE spacecraft in the Lagrange point L1.

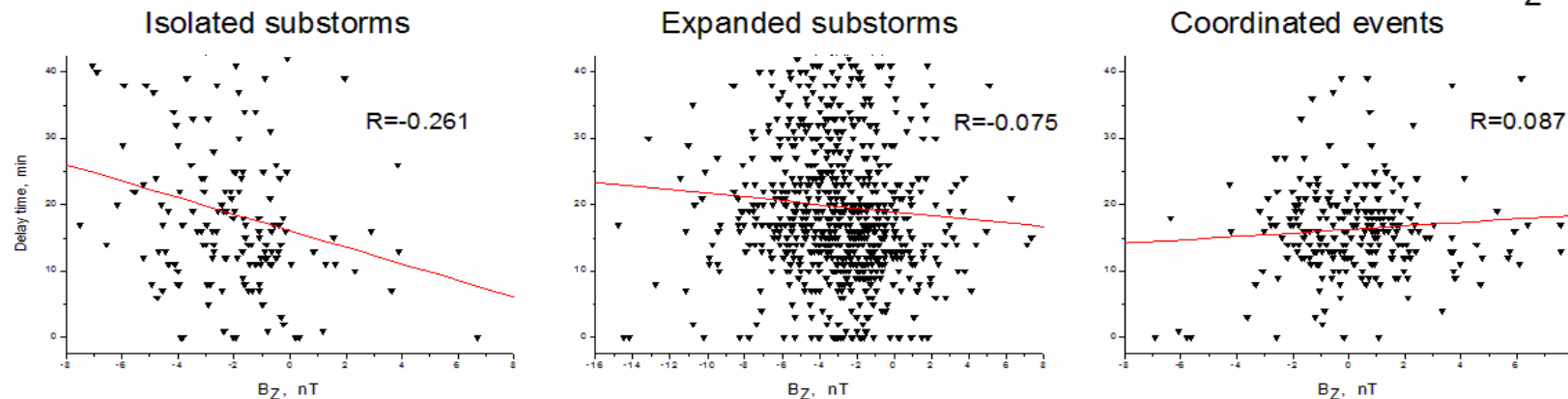
Delay times in response of PC to E_{KL} are extended in range from 0 to 40 min with the very pronounced peak at $\Delta T = 12-18$ min irrespective of type of the examined events.

Relationship between the solar wind parameters and delay times ΔT for isolated and expanded substorms and coordinated events with $R>0.75$

(a) Relationship between delay time and the solar wind speed (V_{sw})



(b) Relationship between delay time and the IMF vertical component (B_z)

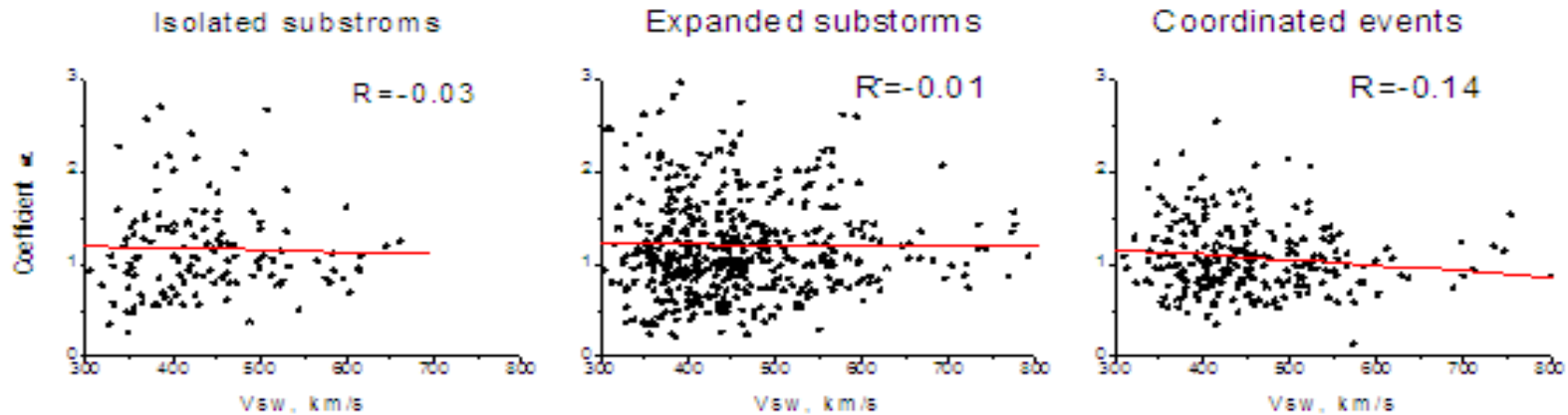


Results:

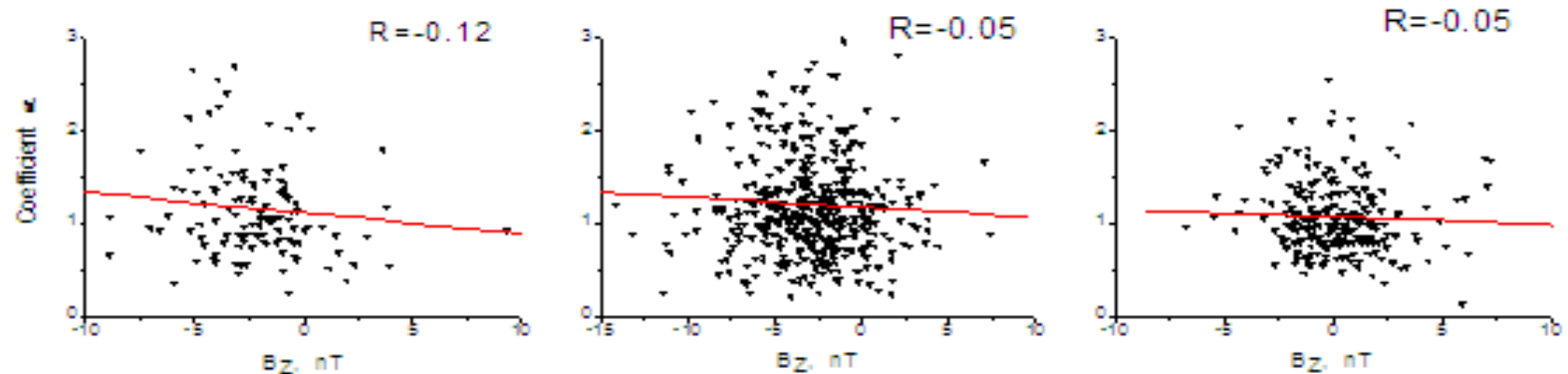
- (a) There is only **slight tendency ($R<0.30$) to ΔT decrease with rise of the solar wind speed V_{sw} .**
- (b) Delay time ΔT **slightly ($R=0.26$) increases under conditions of northward IMF B_z component.**
- (c) Delay time ΔT **is not affected** by the IMF horizontal component $B_T=(B_Y^2 + B_Z^2)^{1/2}$ (is not shown)
- (d) Delay time ΔT **is not affected** by the solar wind dynamic pressure Pd (is not shown).

***Efficiency of linkage between PC and E_{KL} ($PC = \alpha E_{KL} + \beta$) :
relation to the solar wind speed V_{sw} and IMF vertical B_Z component***

(a) Slope coefficient α vs. solar wind speed V_{sw}



(b) Slope coefficient α vs. IMF vertical component B_Z

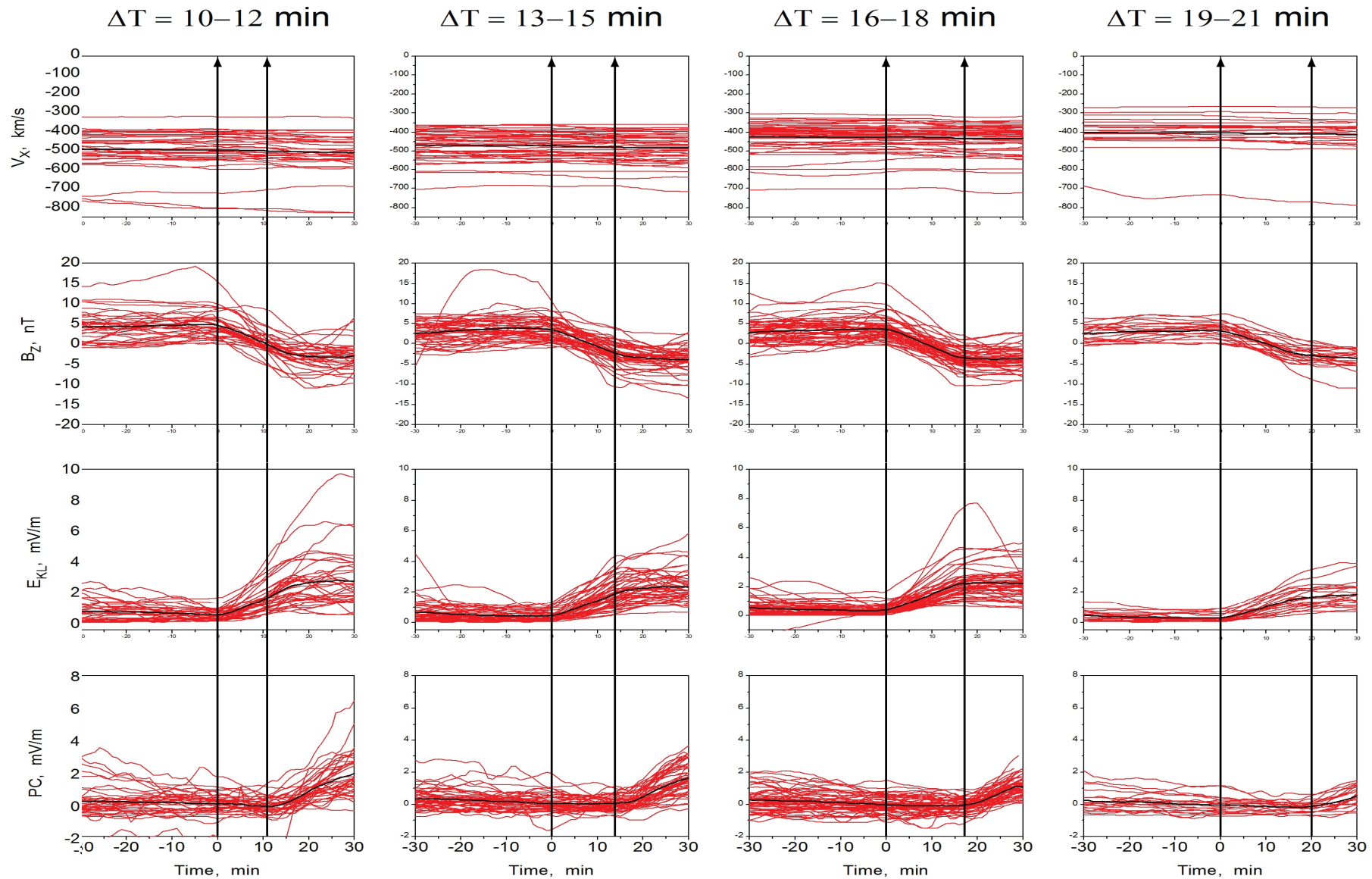


It is seen the negligible small tendency for the α value decrease with the solar wind speed increasing and the IMF B_Z component northward turning.

Conclusion:

The slope coefficient α and, therefore, the efficiency of relation between PC and E_{KL} is not directly affected by the solar wind parameters V_{sw} and B_Z .

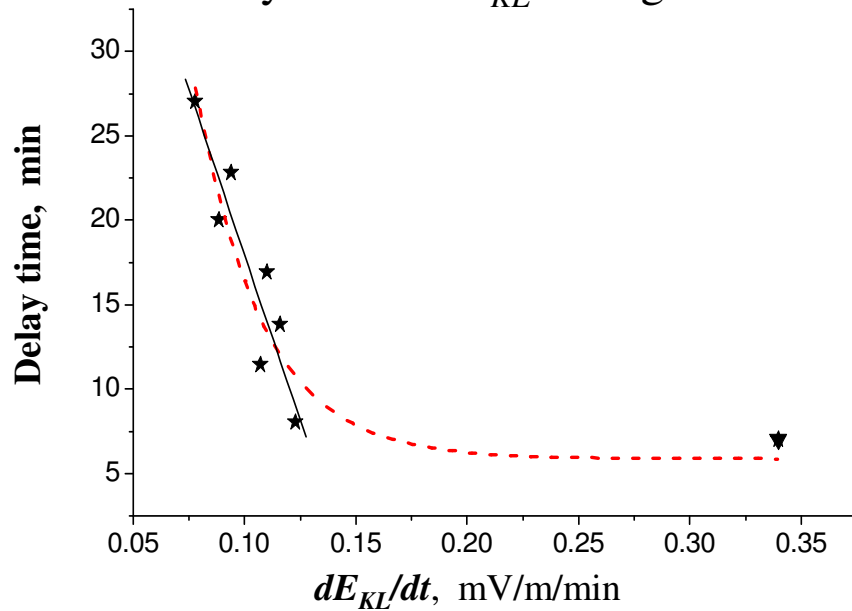
Time evolution of values V_X , B_Z , E_{KL} and PC for groups with different delay times ΔT



Moments of sudden rise of E_{KL} field ($T=0$) and PC jumps ($T=0+\Delta T$) are marked by the vertical black lines. **Increase ΔE_{KL} during delay time ΔT is identified as the E_{KL} growth rate (dE_{KL}/dt).**

Delay time ΔT as a function of the E_{KL} field growth rate

Delay time vs. E_{KL} field growth rate



The events with high correlation between PC and E_{KL} ($R > 0.75$) are only examined.

Knowledge of E_{KL} growth rate for any delay time interval ΔT makes it possible to derive relationship between delay time and the E_{KL} growth rate (*shown by asterisks and solid line*).

Delay time ΔT and growth rate of E_{KL} field are connected by the linear law:

$$\Delta T = 57.1 - 392.1 * (dE_{KL}/dt)$$

$$R = -0.94 \quad N = 7$$

A lower limit of ΔT is reached in events with extremely high jumps E_{KL} (from ~ 2.5 to ~ 5 mV/m instead of the usual rising from ~ 0.5 to 2 mV/m).

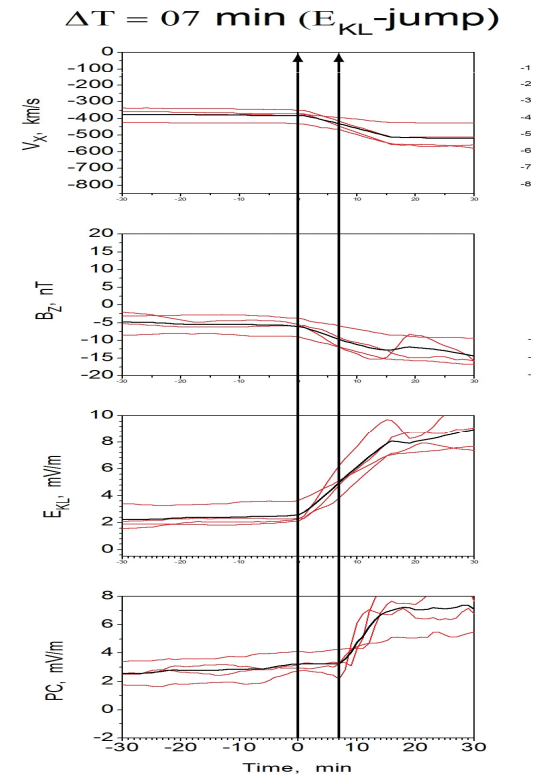
These extreme jumps of E_{KL} were due to strong rising the southward IMF component (from -7 to -13 nT) and to strengthening the solar wind speed by about 150 km/s, both started synchronously at moment $T=0$.

The corresponding jumps in PC index was observed in range from ~ 3 to ~ 7 mV/m with mean delay times $\Delta T \sim 7$ min.

It provides the E_{KL} growth rate as high as 0.34 mV/m/min (*triangle*).

Conclusion:

Delay in response of the PC index to the solar wind variations is determined by the growth rate of the interplanetary electric field (dE_{KL}/dt).



4. Relationship between the PC index and magnetic storms

Criteria used to choose magnetic storms for the analysis: (1) depression of magnetic field during storm should be larger than $Dst = -30\text{nT}$, and (2) magnetic storm duration should be longer than 12 hours.

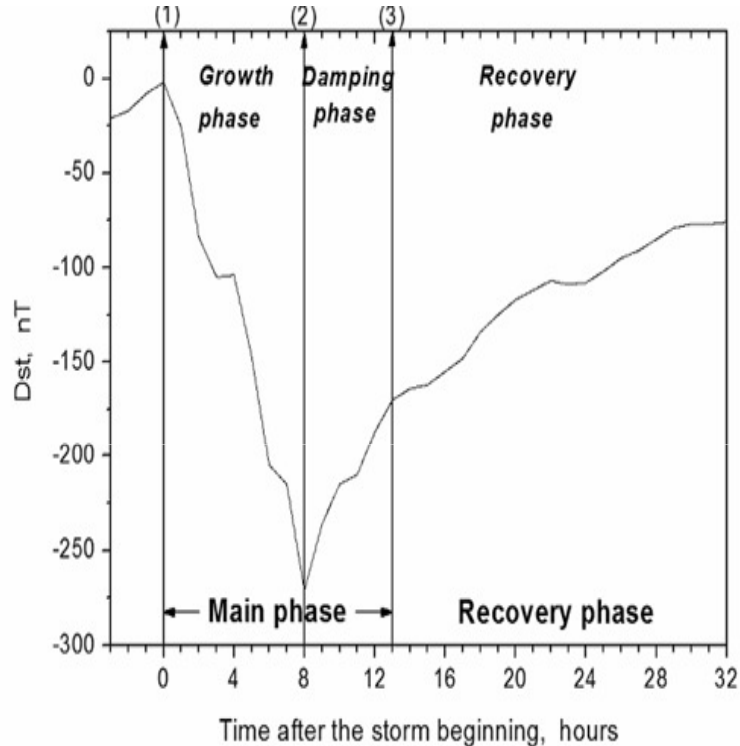
The depression advent is regarded as a beginning of the storm main phase, and the maximum of depression (Dst_{min}) is regarded as a magnetic storm intensity.

Magnetic storms are divided by their intensity into 5 categories: 30-60nT, 60-90nT, 90-120nT, 120-200nT, 200-400nT.

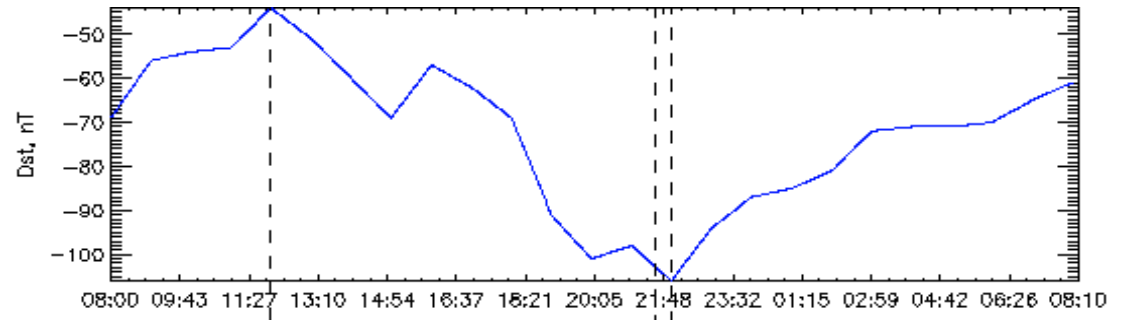
Basing on these criteria, 203 magnetic storms were separated for the epoch of solar activity maximum (1998-2004) with a maximal storm intensity varying in the range from $Dst = -30\text{nT}$ to $Dst = -400\text{nT}$.

Separation of magnetic storms into “classical”, “retarded” and unqualified types.

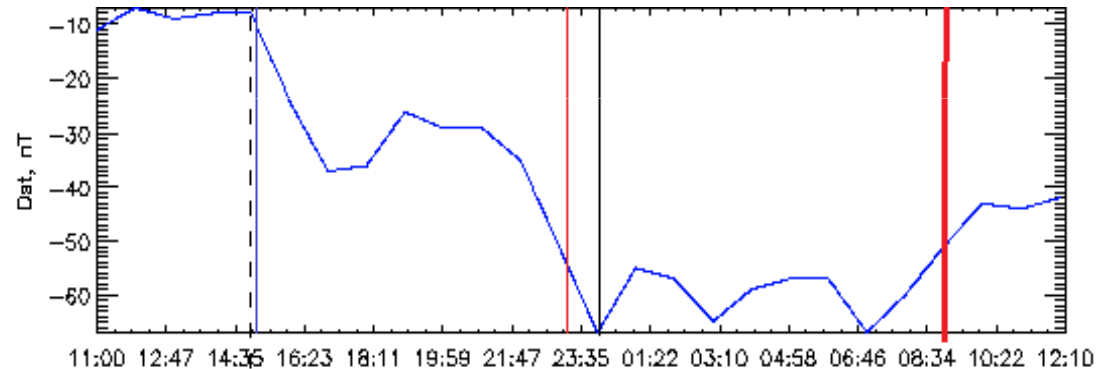
Example of **classical storm**
[Akasofu and Chapman, 1972]



Example of **“retarded” storm** of November 13, 1999



Example of **unqualified storm** of March 3, 2003



“**Classical storms**” are storms with a single clearly delineated strong depression of the magnetic field (“main phase”) and a slow restoration of the field to the previous level (“recovery phase”).

“**Retarded storms**” are storms with extended growth phase and distinguished main phase.

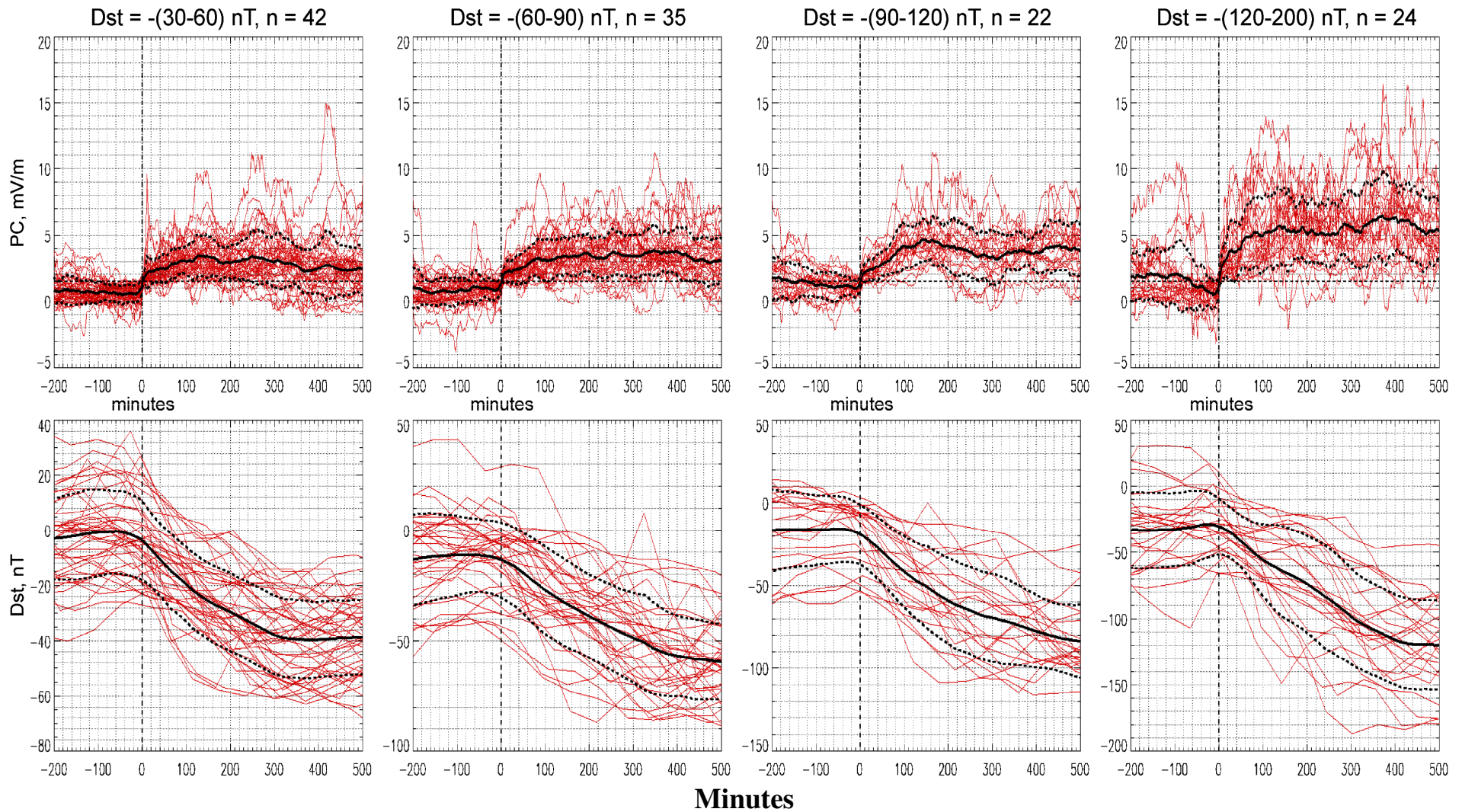
“**Unqualified storms**” with smoothed or repeated main phase were excluded from statistical analysis.

All magnetic storms with intensity $>30\text{nT}$ and duration >12 hours were included in the analysis (N=230).

Magnetic storms were divided by their intensity : 30-60nT, 60-90nT, 90-120nT, 120-200nT, 200-400nT.

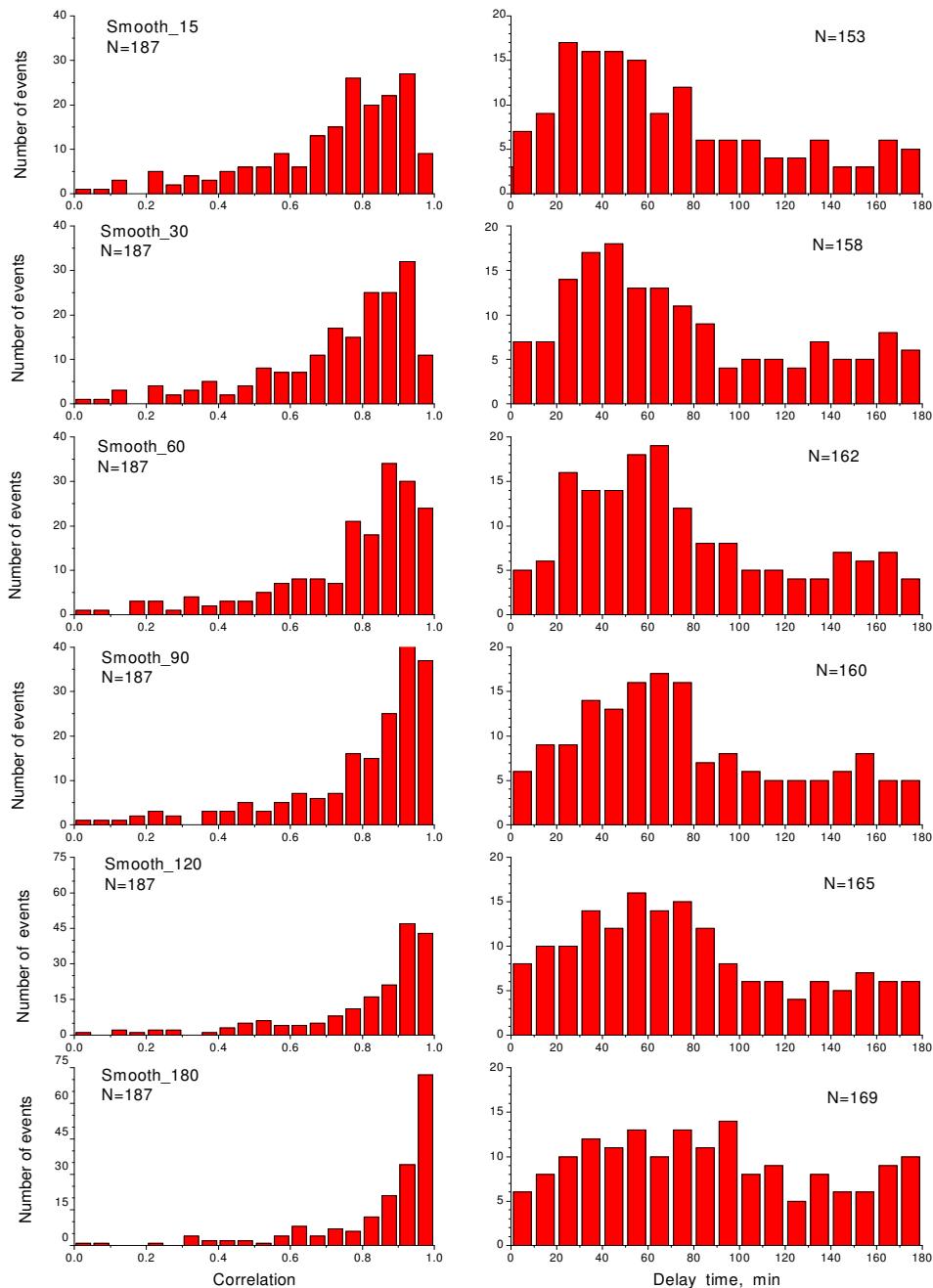
Beginning of the “retarded” magnetic storms

Intersection of the threshold level $PC=1.5$ mV/m was taken as a key date $T=0$



The moment when the PC index steadily exceeds the threshold level $PC = 1.5$ mV/m indicates the magnetic storm beginning.

Correlation between PC and Dst indices in course of magnetic storms



PC indices were smoothed using the averaging running window of different width (from 0 to 180 minutes).

Correlation between *Dst* and *PC* was calculated for different time shifts of *Dst* relative to *PC*. The shift value providing the best correlation was identified as a delay time ΔT .

Left column in Figure shows occurrence of events with different correlation between the *Dst* and smoothed *PC* indices for 6 fixed smoothing windows (15, 30, 60, 90, 120 and 180 minutes).

Right column shows occurrence of events with high correlation $R > 0.5$ over delay times ΔT

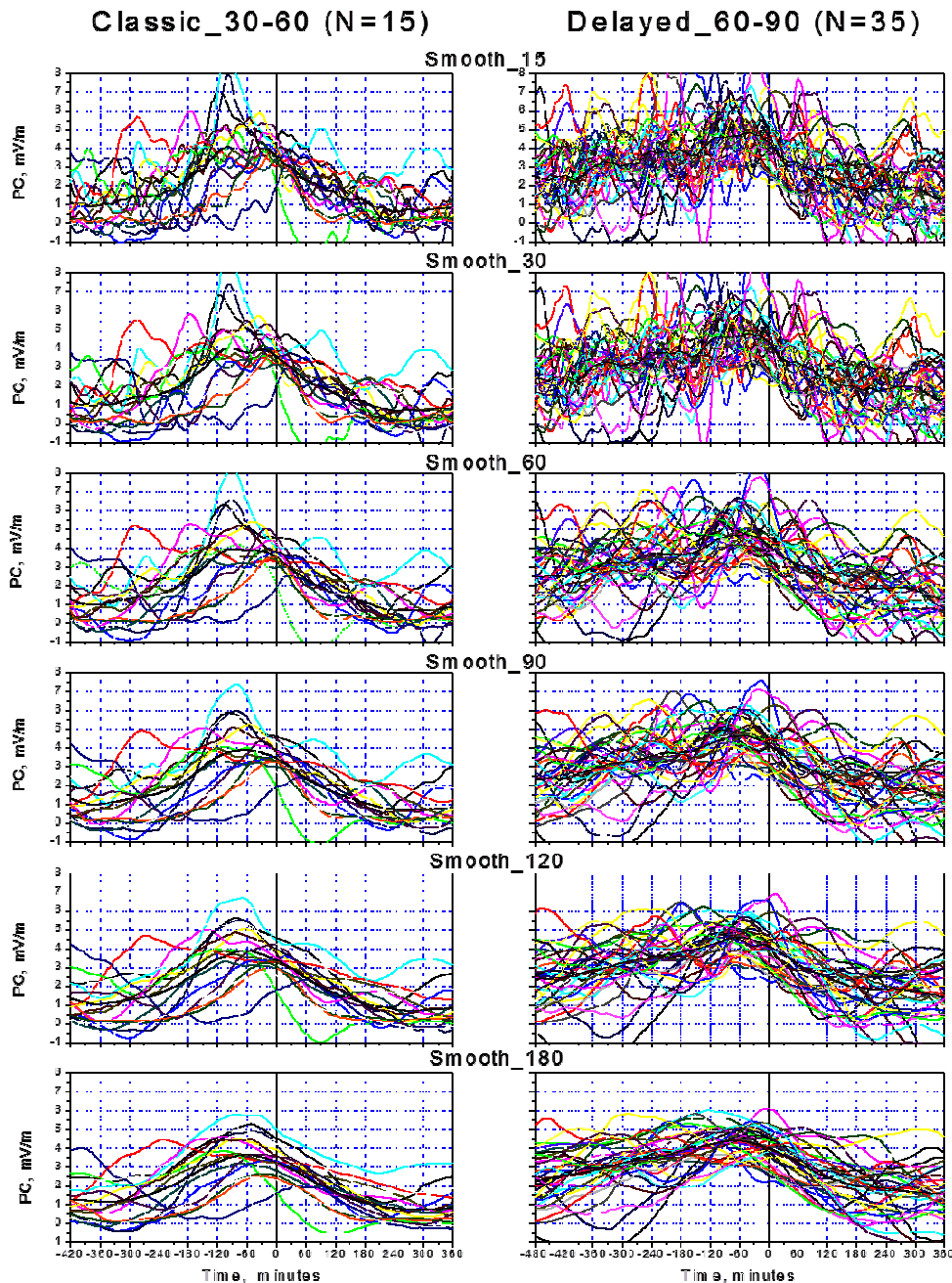
Results:

Correlation between *Dst* and smoothed *PC* reaches the maximum at delay times $\Delta T = 30-90$ min.

Correlation is obviously improved while widening the smoothing interval (fraction of well-correlated events increases from $\sim 80\%$ events for 15-min window to $\sim 90\%$ for 180 min window), but maximum at $\Delta T = 30-90$ min is also progressively smoothed.

Taking into account these two oppositely acting tendencies, the window width of 60-90 minutes seems to be optimal for further analysis.

Relation of storm intensity (Dst_{min}) to PC index value



Time evolution of the PC indices smoothed with different window widths (15, 30, 60, 90, 120, 180 minutes) is shown for classic storms with intensity $Dst_{min}=30-60$ nT and for delayed storms with intensity $Dst_{min}=60-90$ nT.

The moment of maximal depression Dst_{min} taken as a key date $T=0$ is marked by vertical line. Thin lines show the time evolution of smoothed PC indices in course of individual events, thick solid lines present variation of the mean PC values for each storm category.

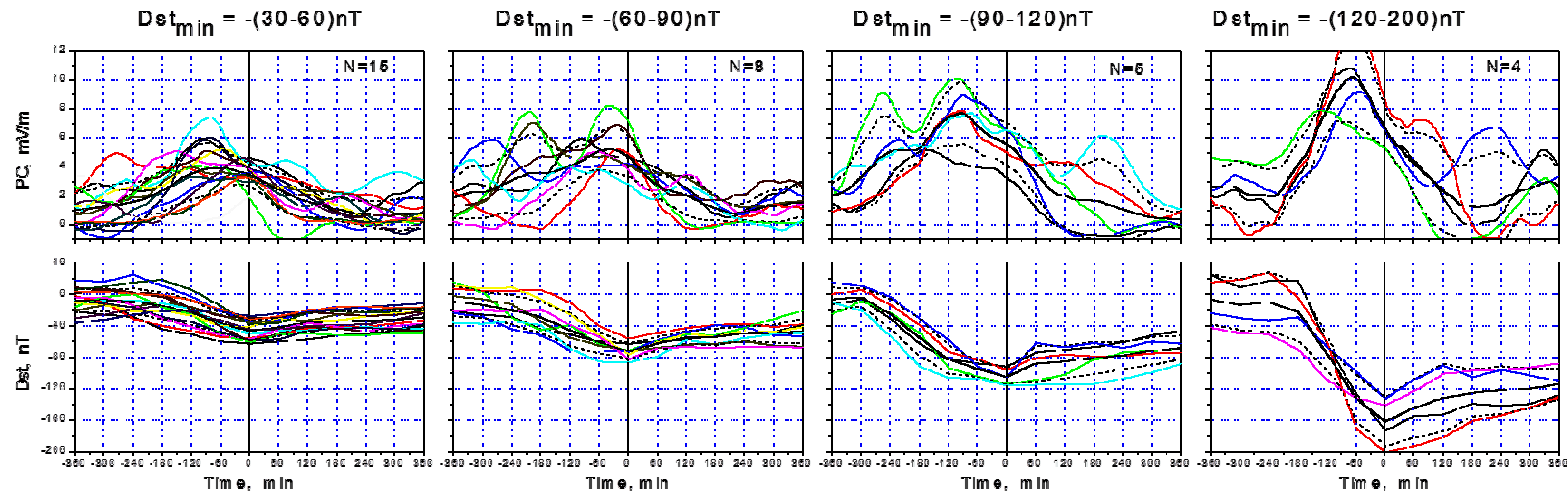
Results:

When the smoothing window exceeds width of 60-90 minutes the value of delay time ΔT between the PC_{max} and Dst_{min} stops to change.

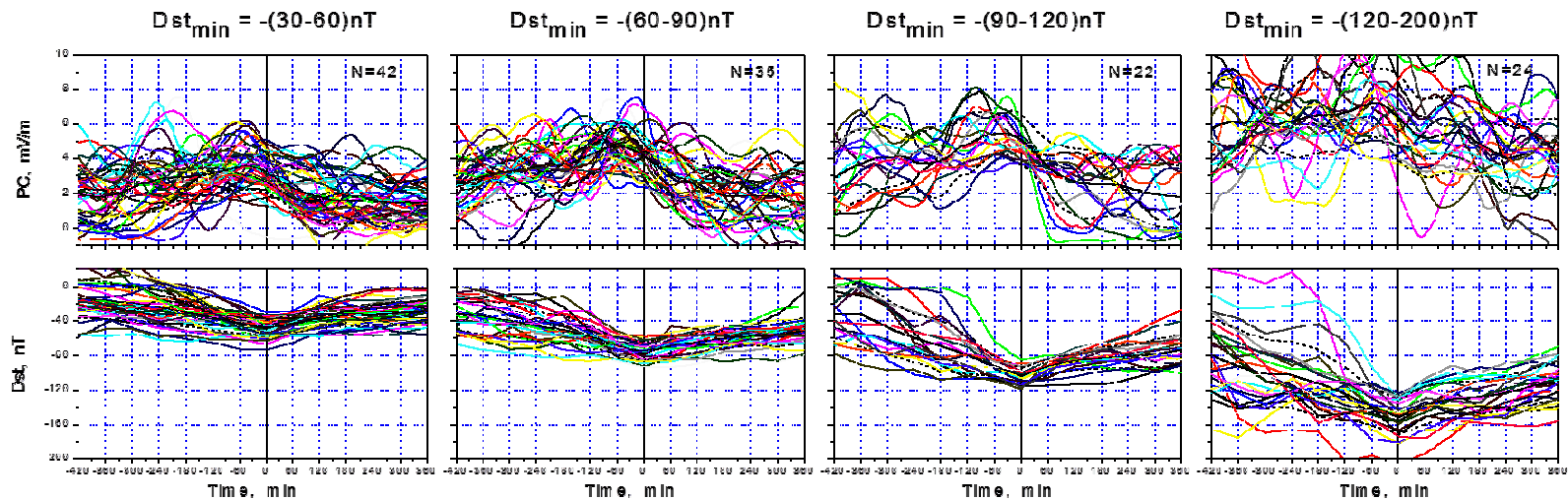
The largest magnetic field depression Dst_{min} (magnetic storm intensity) was observed with delay time $\Delta T \sim 60$ minutes relative to maximal level of PC .

Relationship between PC and Dst indices in course of storms of different intensity

(a) Classic storms



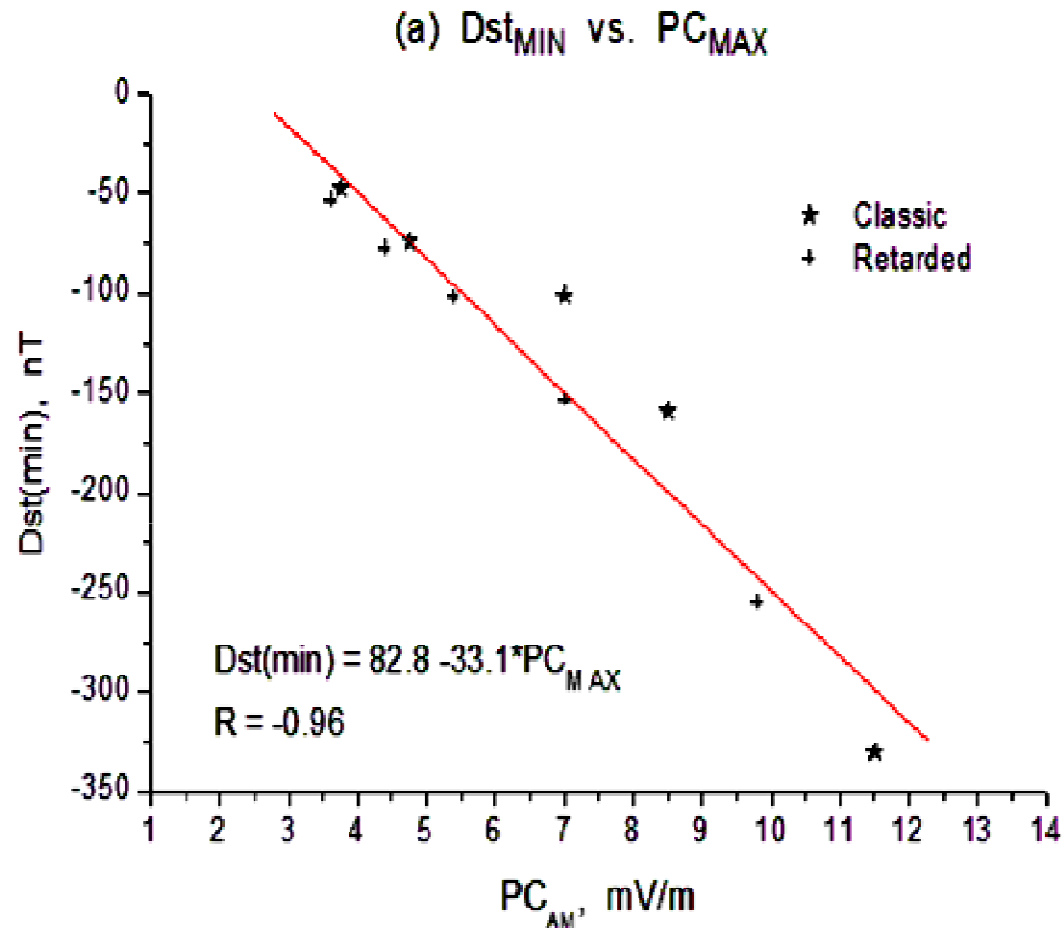
(b) Delayed storms



Irrespective of storm category, the maximal value of the 90-min smoothed PC index is followed with delay of 60 ± 15 minutes by maximal intensity of the magnetic storm (Dst_{MIN}).

The higher the PC_{MAX} value, the larger is magnetic storm intensity.

Relationship between the magnetic storm intensity Dst_{MIN} and preceding maximal value PC_{MAX}

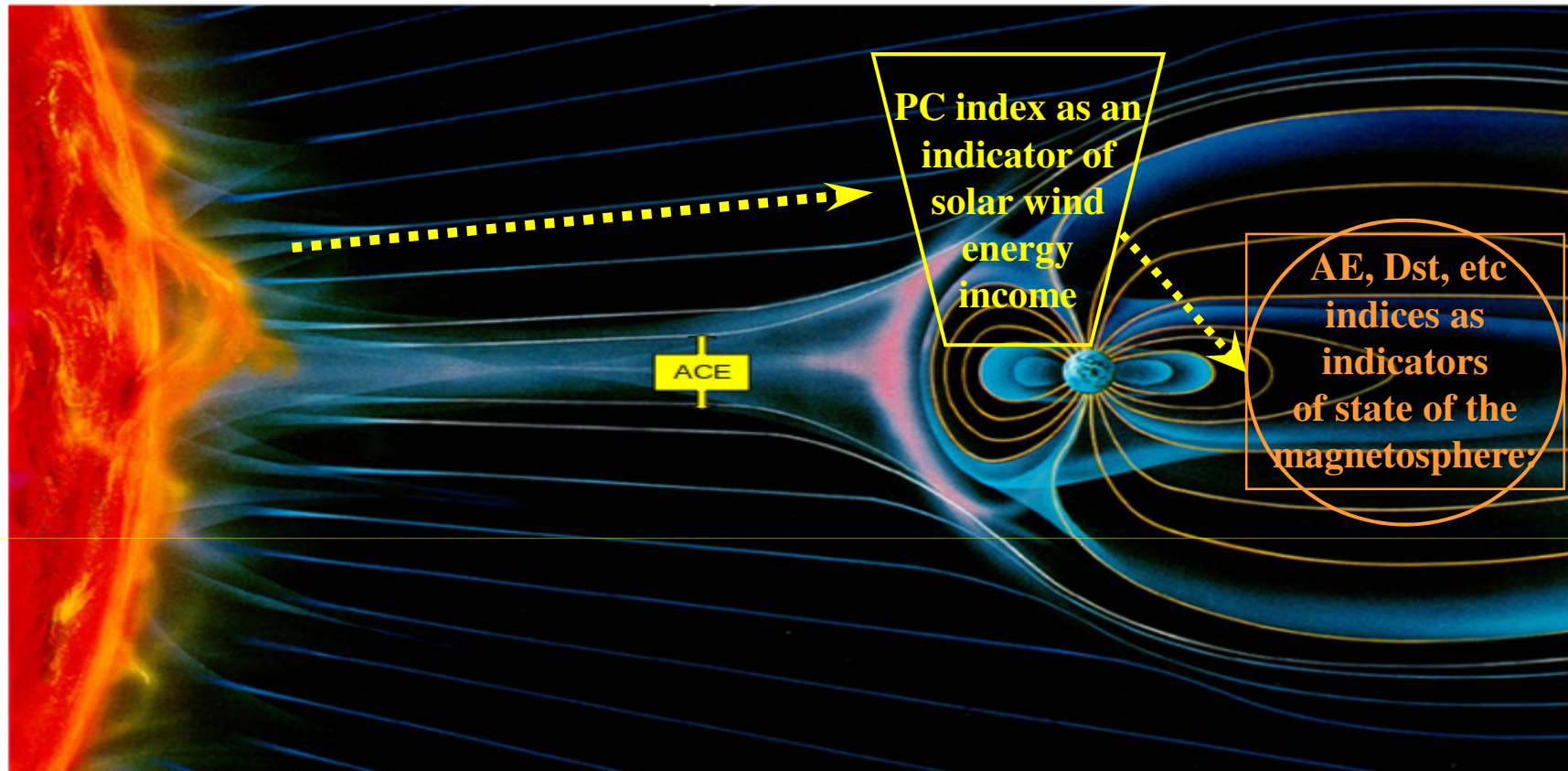


The magnetic storm intensity (Dst_{MIN}) and preceding maximal value PC_{MAX} are connected by linear relationship irrespective of the storm power and length of the storm main phase.

Summary of results

- In periods preceding the substorm onset the *PC* index strongly follows the time evolution of the interplanetary electric field E_{KL} ,
- Lack of correlation between E_{KL} and *PC* in those events when the *PC* growth is followed by the substorm development implies that magnetosphere in these cases was not touched by the solar wind, those parameters were measured on board ACE spacecraft in the Lagrange point L1.
- Delay times ΔT in response of *PC* to E_{KL} variations lie in range from 0 to 40 min with the very pronounced peak at $\Delta T=12-18$ min, irrespective of type of examined events,
- Values of ΔT and efficiency of linkage between *PC* and E_{KL} are not directly related to the separate solar wind parameters such as V_{sw} and B_Z component, but are strongly controlled by the E_{KL} field growth rate.
- Magnetospheric substorms and storms are always preceded by the *PC* index growth,
- Magnetospheric substorms generally start when the *PC* index exceeds the level $> 1\text{mV/m}$, irrespective of the substorm growth phase duration and type of substorm (isolated or extended),
- If the *PC* index came to some crucial value ($\sim 1.5\text{ mV/m}$), any sharp rise in the *PC* growth rate leads to the substorm sudden onset,
- The substorm sudden onset magnitude is a function of the *PC* index value in moment of sudden onset,
- Magnetic storms start to develop when the *PC* index steadily excess the threshold $\sim 1.5\text{ mV/m}$, and maximal intensity of the magnetic storm is reached with time delay of $\sim 60\pm 15$ min after the moment of maximal *PC* index,
- Intensity of storm and substorm is linearly related to the *PC* index value
- Magnetic disturbances decay as soon as the *PC* index value falls below $1-1.5\text{ mV/m}$.

6. Conclusions



The examined experimental facts are strongly indicative of the *PC* index as a proxy of the solar wind energy that actually entered into the magnetosphere.

Therein lies the principal distinction of the *PC* index from various coupling functions (which are characteristics of the solar wind arriving to the Lagrange point L1) and from *AL* and *Dst* indices (which are characteristics of the energy realized in form of magnetospheric substorm and magnetic storms).

The *PC* index in this charge can be successfully used to study different magnetospheric processes and to realize the quantitative space weather forecasting and monitoring.

Thank you for attention!



The historical PC indices (sets of data for 1997-2014) and current PCN and PCS indices calculated on-line by magnetic data from stations Thule and Vostok, are presented at web site: <http://pc-index.org>